



Bonds.com, LLC (“Bonds.com” or “the Firm”) Business Continuity Plan: Executive Summary

Bonds.com has developed and implemented its Business Continuity Management program to ensure that we are able to continue to provide services to our clients, third party service providers, and regulators in the event of a manmade, natural, or technological disruption. The program revolves around our extensive Corporate Policy and Procedures governance. The program combines Incident Management (Emergency Response), Business Continuity, and Disaster Recovery in a coordinated and holistic manner in order to protect our personnel and resources and be able to communicate to the Firm’s staff, clients, third parties and regulatory agencies in a time of crisis. It is designed to respond to incidents of all kinds and sizes, from the loss of a server, to the loss of a facility and even the onset of a pandemic. The procedures focus on the restoration of key business elements, as quickly as is feasible given the circumstances, necessary to support our business processes in a compliant manner.

Utilizing a combined strategy of Bonds.com technology, alternate business operations recovery sites and additional vendor supported systems, the Firm is able to reroute our technology (computers and telephones) and relocate our personnel in an orderly and efficient manner. In addition, Bonds.com employees have remote capabilities which enable them to continue business operations, where deemed appropriate, if unable to report to the office or an alternate site.

The Bonds.com Business Continuity Plan is maintained and validated on a regular basis, but never less than annually. Business (staff) exercises in conjunction with Technology exercises are conducted on an annual basis. The Firm may also choose to participate in third party client and vendor recovery exercises.

Finally, the Firm provides its employees with internal communications to discuss preventative measures and actions to be taken in the event of an emergency. This ensures staff has an awareness to better prepare them to react to emergency situations.

Respectfully,

Roger Pacheco II

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Chief Compliance Officer
April 2, 2023



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